

**PATENTS** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	Application of:	)	
Timothy P. Farley et al.		) ) .	Art Unit: 2132
Serial	No. 09/844,447	)	Confirmation No. 9081
Filed:	April 27, 2001	)	Examiner: Kambiz Zand
For:	Method and System for Managing Computer Security Information	) )	

# REQUEST FOR AN INITIALED COPY OF THE PTO-1449 FORM FILED WITH THE INFORMATION DISCLOSURE STATEMENT OF APRIL 23, 2003

Commissioner for Patents Mail Stop Issue Fee Alexandria, VA 22313-1450

May 5, 2006

Sir:

The Applicants respectfully request Examiner Zand to consider and initial the attached PTO-1449 form (See Exhibit A) that was previously filed with the Information Disclosure Statement of April 23, 2003. Exhibit A is a copy of the second sheet of two PTO-1449 forms that were filed on April 23, 2003. The Applicants have received the first sheet of this PTO-1449 form.

As noted above, the IDS was filed on April 23, 2003 (See Exhibit B). The Applicants have also provided a post card receipt (See Exhibit C) that evidences the receipt of these documents by the U.S. Patent and Trademark Office on April 30, 2006.

In an Office Communication mailed November 19, 2004, Examiner Gurshman initialed and returned only the first sheet of the two PTO-1449 forms from the IDS (See Exhibit D).

Consideration and return of the initialed copy of the attached PTO-1449 form (Exhibit A) are respectfully requested. The Examiner is requested to return the initialed copy of the attached

I hereby certify that this correspondence is being facsimile transmitted to: Commissioner for Patents, Mail Stop Issue Fee, P. O. Box 1450, Alexandria, VA 22313-1450, GAU 2132, Attn: Examiner Kambiz Zand, Facsimile No. (517) 273-2885 on May 5, 2005.

Steven P. Wigmore, Reg. No. 40,447

Serial No. 09/844,447

PTO-1449 form via facsimile to the undersigned. The undersigned's facsimile number is 404-572-5134.

If there are any other issues remaining in this application that may be resolved by a telephone conference, the Examiner is invited to contact the undersigned at the following number in the Atlanta Metropolitan Area: 404-572-2884.

Respectfully submitted,

Steven P. Wigmore Rep. No. 40,447

King & Spalding LLP 191 Peachtree Street Atlanta, Georgia 30303 404.572.4600 K&S Docket: 05456.105006

## **EXHIBIT A**

TTY, DOCKET N	0.	SERIAL NO.	<del>-</del>	FILING DATE				
5456.105 <u>006</u>		09/844,447		April 27, 2001	COPY			
APPLIÇANT				GROUP		OPI		
arley et al.			U.S. PAT	2131 TENT DOCUMENTS	<del></del>			
XAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME	CLASS	\$UBCLASS	FILING DATE	
ВА	6,119,234	09/12/00	_ Aziz et al					
ВВ	5,606,668	02/25/97	Shwed					
ВС	5,835,726	11/10/98	Shwed et	al				
BD	5,884,033	03/16/99	Duvall et	aL				
BE	5,964,839	10/12/99	Johnson e	et al.				
BF	6,041,347	03/21/00	Harsham	et al.				
BG	6,061,795	05/09/00	Dircks et	<u>al.</u>				
ВН	6,085,224	07/04/00	Wagner					
BI						:0P	<u>Y</u>	
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#### **EXHIBIT B**

**PATENTS** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	COPY
Farley et al.	COPI
Serial No.: 09/844,447	) Art Unit: 2131
Filed: April 27, 2001	)
For: Method and System for Managing Computer Security Information	) )

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents Washington, DC 20231

Sir:

The citation of information on the attached Form PTO-1449, "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98. A copy of each cited item is enclosed.

The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Respectfully submitted,

W. Mooti Pftt P Reg. No. 35,645 COPY

King & Spalding LLP 45<sup>th</sup> Floor, 191 Peachtree Street, N.E. Atlanta, GA 30303 404.572.4600

K&S Docket: 05456.105006

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Comprissioner of Patents, Washington, D.C. 20231, on April 27, 2003

W. Scott Petty, Reg. No. 35,645



#### **EXHIBIT C**

# COPY

The U.S.P.T.O. official mailroom stamp affixed hereto acknowledges receipt of the items listed below.

Applicant

Farley et al.

Serial No.

09/844,447

Title

Method and System for Managing Computer Security

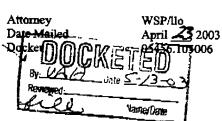
Information

Papers Submitted:

Supplemental Information Disclosure Statement, PTO-1449

form (2 pgs.); twenty-nine (29) cited references; Return

Postcard





COPY

#### EXHIBIT D

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05456.105006 APPLICANT		09/844,447 April 27, 2001 GROUP		COPY		
				U.S. PATENT DOCUMENTS		
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INITIAL		DOCUMENT NUMBER DATE		NAME	CLASS SUBCLAS	FILING DATE  IF APPROPRIATE
- 1	AA	5,857,191	01/05/00	D1-3		
	1 22	1 3,637,191	01/05/99	Blackwell, Jr. et al.	- BETTE	
	AB	6,035,423	03/07/00	Hodges et al.		EIVED
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$\Omega$	AC	5,586,260	12/17/96	Hu		
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7		3,223,232	1			
	AE	5,764,887	06/09/98	Kells et al.		
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<u> </u>	AG	5,796,942	08/18/98	Esbensen		
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$-\!\!+$	HA	5,798,706	08/25/98	Kraemer et al.		COP
- 1	AI	5,815,574	09/29/98	_Fortinsky		
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}	AJ	5,828,833	10/27/98	Belville et al.	~~ <del> </del>	
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$\nabla 1$	176	5,832,208	11/03/98	Chen et al.		
	AL	5,832,211	11/03/98	Blakley, III et al.		
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<del>-</del> +	AM	5,838,903	11/17/98	Blakely, III et al.		
ľ	AN	5,864,665	01/26/99	Tran		
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	AO	5,875,296	02/23/99	Shi et al.	<u> </u>	
}	AP	5,983,270	11/09/99	Ah-1		
	<del>                                     </del>	3,203,270	11/05/55	Abraham et al,		-
	AQ	5,983,348	11/09/99	Ji		1
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	AR	5,987,606	11/16/99	Cirasole et al.		
	AS	5,987,611	11/16/99	Freund		
	1[				+	<del> </del>
	AT	6,088,803	07/11/00	Tso et al.		Exhibit D
U	AU	6.098.173	000100	Elgressy et al.		

PAGE 9/9 \* RCVD AT 5/5/2006 2:10:42 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-2/3 \* DNIS:2732885 \* CSID:404 572 5134 \* DURATION (mm-ss):02-485 AGE - MS - \*\*